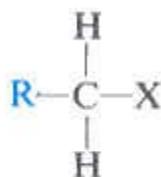
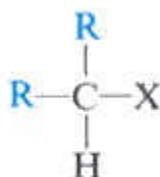


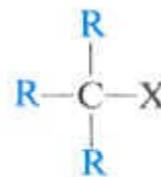
- Alkyl halides
  - An **alkyl halide** is an alkane with a halogen bonded to a carbon.
  - Types (X = halogen):
    - Methyl halide =  $\text{CH}_3\text{X}$
    - Primary alkyl halide =  $\text{RCH}_2\text{X}$
    - Secondary alkyl halide =  $\text{R}_2\text{CHX}$
    - Tertiary alkyl halide =  $\text{R}_3\text{CX}$



Primary  
(1°)

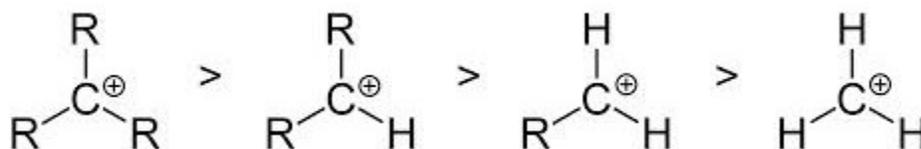


Secondary  
(2°)



Tertiary  
(3°)

- Carbocations
  - Carbocations** are positively charged carbon atoms.
    - Methyl carbocation =  $\text{CH}_3^+$
    - Primary carbocation =  $\text{RCH}_2^+$
    - Secondary carbocation =  $\text{R}_2\text{CH}^+$
    - Tertiary carbocation =  $\text{R}_3\text{C}^+$
    - Tertiary > Secondary > Primary > Methyl in terms of stability

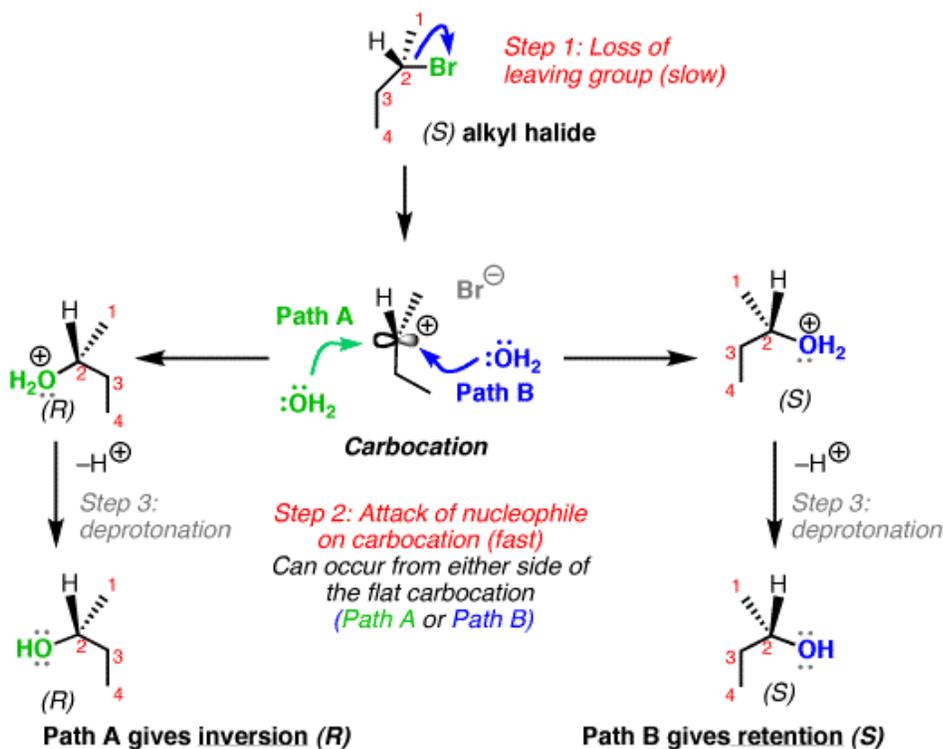


- Leaving group
  - A **leaving group** is the species that leaves in a substitution or elimination reaction.
    - The better the leaving group, the faster the reaction.
  - How to determine leaving group strength:
    - The less basic/more acidic, the better the LG
    - LG strength follows the periodic trend for acidity
- Protic vs. Aprotic solvent
  - A **solvent** is a liquid that serves as the medium for a reaction. It can serve two major purposes:
    - (Non-participatory) to dissolve the reactants. Polar solvents are best for dissolving polar reactants (such as ions); nonpolar solvents are best for dissolving nonpolar reactants (such as hydrocarbons).
    - Participatory: as a source of acid (proton), base (removing protons), or as a nucleophile (donating a lone pair of electrons). The only class of solvents for which this is something you generally need to worry about are polar protic solvents (see below).
  - Protic solvents** have O-H or N-H bonds. These bonds serve as sources of protons.
    - We see that protic solvents favor  $\text{S}_\text{N}1$  and  $\text{E}1$  reactions.
  - Aprotic solvents** may have hydrogens on them somewhere, but lack O-H or N-H bonds.
    - We see that aprotic solvents favor  $\text{S}_\text{N}2$  and  $\text{E}2$  reactions.

- Electrophiles vs. Nucleophiles
  - Electrophiles** accept electrons whereas **nucleophiles** donate electrons.
    - For this chapter, the electrophile will always be an alkyl halide.
  - A stronger nucleophile will lead to a faster elimination or substitution reaction. How to determine nucleophile strength:
    - In polar, protic solvents:
      - Nucleophilicity **increases** as the attacking atom moves **down** a group of the periodic table.
      - Nucleophilicity **increases right to left** across a row of the periodic table.
    - In polar, aprotic solvents:
      - Nucleophilicity **increases** as the attacking atom moves **up** a group of the periodic table.
      - Nucleophilicity **increases right to left** across a row of the periodic table.
    - If comparing the same atom species, the more basic molecule = the better nucleophile.
- $S_N1$ 
  - rate =  $k[RX]$ 
    - Rate law only depends on the alkyl halide concentration, not the nucleophile concentration.
    - The first step is rate determining step
  - Likes to happen in protic solvents
  - Favored by weaker nucleophiles
  - Stereochemistry: get both stereoisomers (retention and inversion), but slightly more retention than inversion occurs.
  - Alkyl halide that reacts the fastest forms the most stable carbocation. Tertiary > secondary > primary > methyl halide

#### The "stepwise" hypothesis fits all experimental data

In the "stepwise" mechanism, the leaving group leaves, forming a carbocation. Then, the nucleophile attacks the carbocation (fast) to give the substitution product. Importantly, the nucleophile can attack from either face of the flat carbocation.



- Explains unimolecular rate law (depends only on concentration of substrate)
- Explains why a mixture of retention and inversion obtained (attack can occur from either face of the carbocation)
- Explains sensitivity to substitution pattern ( $3^\circ > 2^\circ \gg 1^\circ$ ) because tertiary carbocations are more stable.

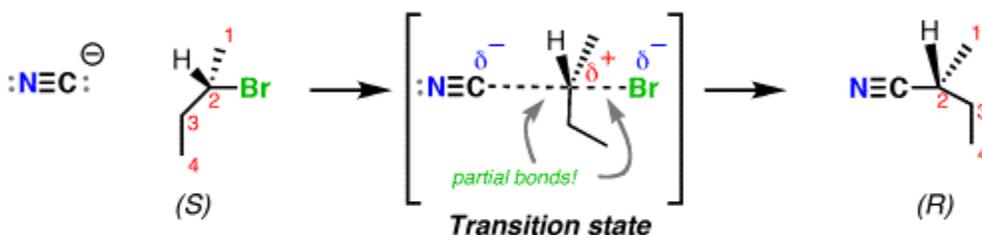
This is called the  $S_N1$  mechanism (Substitution, Nucleophilic, unimolecular)

- Sn2

- rate =  $k[\text{RX}][\text{nucleophile}]$ 
  - Rate law depends on the concentration of the alkyl halide and the nucleophile
- Likes to happen in aprotic solvents
- Favored by stronger nucleophiles
- Transition state is triagonal bipyramidal. Leaving group has a negative charge and the nucleophile has a positive charge. The carbon involved changes from an  $\text{sp}^3$  to an  $\text{sp}^2$  hybridization.
- Stereochemistry: inversion of stereocenter (called this backside attack)
- **Beta branching** (branching at the beta carbon) slows down the reaction
- Favored by unhindered alkyl halides: methyl halide > primary > secondary > tertiary
- The mechanism occurs in a single, **concerted** step.

**The "backside attack" hypothesis fits all experimental data**

In the "backside attack", the nucleophile attacks the substrate from the backside in a single step, resulting in inversion of configuration.



- Explains bimolecular rate law (depends on conc. of nucleophile and substrate)
- Explains inversion of stereochemistry
- Explains sensitivity to steric hindrance (bulky groups slow down backside attack)

**This is called the  $\text{S}_{\text{N}}2$  mechanism (Substitution, Nucleophilic, bimolecular)**

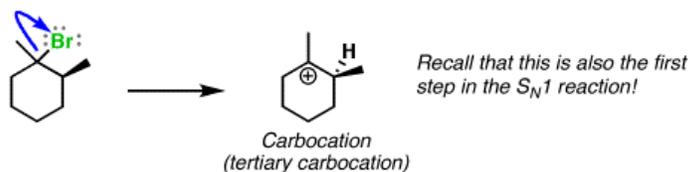
- E1

- rate =  $k[\text{RX}]$ 
  - Rate law only depends on the alkyl halide concentration, not the nucleophile concentration.
  - The first step is rate determining step
- Likes to happen in protic solvents
- Favored by weaker bases
- More substituted alkene is favored (**zaitsev's rule**)

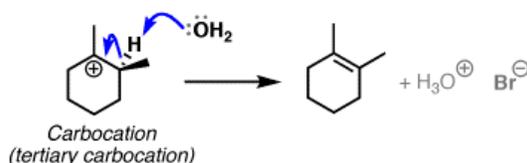
**How to explain these results?**

The best theory we have is that the reaction proceeds through two steps.

**First, the leaving group leaves to form a carbocation**



**Second, a proton is removed by base to give the alkene**



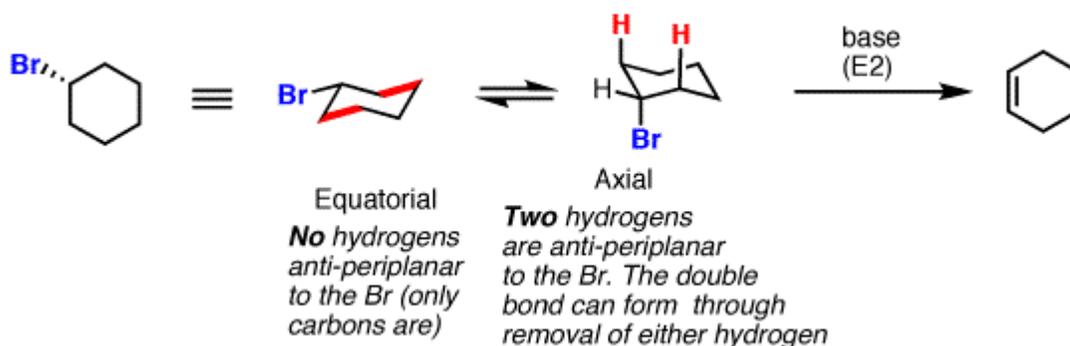
This explains several key facts:

- 1) the rate determining step (slow step) is loss of leaving group to form the carbocation
- 2) the rate is proportional to carbocation stability ( tertiary > secondary >> primary)
- 3) Recall that loss of the leaving group is also the first step in the  $\text{S}_{\text{N}}1$  reaction. This is why  $\text{S}_{\text{N}}1$  byproducts can also be observed

**This is called the  $\text{E}_1$  mechanism** [Elimination, unimolecular]

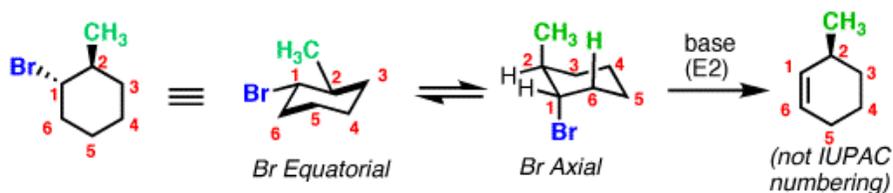
- E2
  - rate =  $k[\text{RX}][\text{nucleophile}]$ 
    - Rate law depends on the concentration of the alkyl halide and the nucleophile
  - Likes to happen in aprotic solvents
  - Favored by stronger bases
  - more substituted alkene is favored (Zaitsev's rule)
  - There must be an **antiperiplanar arrangement** of H and X, meaning they must be 180 degrees apart.
    - If H and X are not antiperiplanar, rotate the bond until it is. If you cannot rotate the bond to make H and X antiperiplanar, no reaction will occur.
      - How can I tell if H and X are antiperiplanar? Draw a Newman projection!
    - If you are doing an elimination of a cyclohexane ring, draw the chair and see if H and X are 180 degrees apart. Note that you may even need to flip the chair in order to see the antiperiplanar relationship (as shown below)

**In a cyclohexane, the E2 can only occur when the leaving group is axial:**



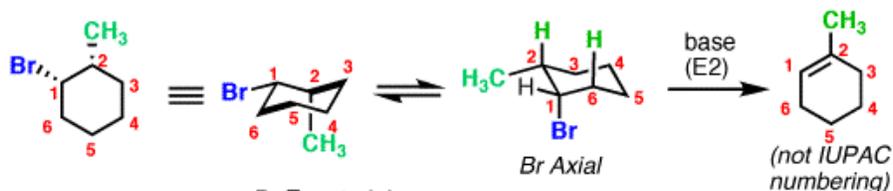
- Sometimes, you will only see that one anti-periplanar relationship is possible and that may be the less substituted double bond. For more information, visit <http://www.masterorganicchemistry.com/2012/10/18/the-e2-reaction-and-cyclohexane-rings/>

#### How Cyclohexane Stereochemistry Affects Elimination



Note how elimination between C<sub>1</sub> and C<sub>2</sub> is **not possible**, since there is no H at C<sub>2</sub> that is axial to the leaving group

**Elimination can only occur between C<sub>1</sub> and C<sub>6</sub> here**



Note how elimination between C<sub>1</sub> and C<sub>2</sub> is **possible**, since there is now an H at C<sub>2</sub> that is axial to the leaving group

**Elimination can occur between C<sub>1</sub> and C<sub>2</sub> here (favored due to Zaitsev's rule)**

**Bottom line: elimination can't occur when there is no H "anti" to the leaving group**

- The mechanism occurs in a single, **concerted** step.

**We have enough evidence to propose a mechanism!**

**A proposal for how this reaction works:**



**The reaction is concerted (explaining the rate law)**

**Secondly, this mechanism explains the *stereochemistry* of this reaction**

**Note that the **H** and the **Br** are oriented at 180° to each other ("anti")**

*Imagine the pair of electrons from C<sub>3</sub>-H doing a "backside attack" on the C<sub>2</sub>-Br bond, forming the new π bond.*

**This is called the E<sub>2</sub> mechanism**

- Choosing between E1, E2, Sn1, and Sn2
  - NOTE: bicyclo compounds, halides attached to aromatic rings or to carbon that participates in a double/triple bond do not react in these schemes.
  - Step 1: determine if you have primary, secondary, or tertiary alkyl halide.
    - If I have a primary alkyl halide:
      - Step 2: determine if the base is bulky
        - If it is, then do E2
        - If it is not, then do Sn2
      - NOTE: if the base is a bad nucleophile, then there will be no reaction
    - If I have a secondary alkyl halide:
      - Step 2: determine if the base is bulky
        - If it is, then do E2
        - If it is not, proceed to step 3
      - Step 3: determine if the base is strong
        - If it is, then E2 and Sn2 will occur, but E2 will be favored
        - If it is not, proceed to step 4
      - Step 4: determine if the species is a good nucleophile
        - If it is, then E2 and Sn2 will occur, but Sn2 will be favored
        - If it is not, then Sn1 and E1 will be favored
    - If I have a tertiary alkyl halide:
      - Step 2: determine if the base is strong
        - If it is, then do E2
        - If it is not, then do Sn1 and E1
  - What does "strong base" mean?
    - For our purposes, look for anions (e.g. RO<sup>-</sup>) that are not sulfur-based.
    - Weak bases will be protonated (e.g. ROH)
  - What is a bad base but a good nucleophile?
    - For DAT, think of sulfur-based nucleophiles and cyanide nucleophiles.
  - Benzylic and allylic halides do Sn1 and Sn2 depending on the solvent.
  - Note that if you can create a conjugated double bond system via elimination, do elimination!

- A special note on bulky bases:
  - $(\text{CH}_3)_3\text{CO}^- \text{K}^+$  is **hoffman elimination**. Form the less substituted double bond!
  - $\text{C}_2\text{H}_5\text{O}^- \text{Na}^+$  is **standard E2 elimination** (zaitev's rule)